



HAZARDOUS WASTE GENERATOR – CESQG INSPECTION CHECKLIST

Notice to Comply (Minor Violations-Correct within 30 days) and Summary of Violations (Class I and II Violations)

FACILITY ID#:	DBA/FACILITY NAME:	ADDRESS:
INSPECTOR:	INSPECTION DATE:	EPA ID #:
INSPECTION TYPE: <input type="checkbox"/> Routine <input type="checkbox"/> Re-inspection/Follow-up <input type="checkbox"/> Complaint <input type="checkbox"/> Other _____		

Violation Code	Finding	I	II	M
Identification Number and Permits				
HW.1.1	Generator failed to obtain a Hazardous Waste Generator Permit from the CUPA.			
HW.1.2	Failed to obtain or maintain a valid EPA ID number.			
Pre-Transportation Requirements				
HW.2.1	Failed to provide hazardous waste determination.			
HW.2.2	Failed to label a hazardous waste tank and/or container properly.			
HW.2.3	Failed to mark a tank and/or container of used oil with the words "Used Oil".			
HW.2.4.1	Generator accumulated hazardous waste on-site for greater than allowed time limits.			
HW.2.5	Failed to maintain containers holding hazardous waste in good condition.			
HW.2.6	Failed to use only containers compatible with contents.			
HW.2.7	Failed to keep containers closed except when adding or removing waste.			
HW.2.8	Failed to demonstrate that container storage and transfer areas were being inspected weekly.			
HW.2.9	Failed to separate a hazardous waste from incompatible waste or materials.			
HW.2.10	Failed to maintain sufficient aisle space to all areas of facility operation.			
HW.2.11	Failed to manage hazardous waste "satellite accumulation" properly.			
Disposal and Treatment				
HW.3.1	Failed to use registered transporter for hazardous waste shipments.			
HW.3.2	Illegal disposal of hazardous waste.			
HW.3.3	Failed to obtain a permit or other authorization for treatment of hazardous waste.			
Recordkeeping and Manifests				
HW.5.1	Failed to maintain hazardous waste manifests and/or consolidated manifests for past 3 years.			
HW.5.2	Failed to properly complete a hazardous waste manifest or consolidated manifest.			
HW.5.3	Failed to complete or maintain Land Disposal Restrictions.			
HW.5.4	Failed to submit a copy of a manifest to DTSC within 30 days of the hazardous waste shipment.			
HW.5.5	Failed to contact the TSDF &/or make proper notification to DTSC after not receiving the TSDF-signed copy.			
HW.5.6	Failed to retain hazardous waste analysis test results for three years.			
HW.5.7	Failed to report onsite recycling by submitting to the CUPA a Recyclable Materials Report.			
Personnel Training				
HW.6.1	Personnel did not demonstrate that they were familiar with proper waste handling procedures.			
Contingency Plan				
HW.7.1	Failed to post emergency response information next to the telephone.			
HW.7.2	Failed to designate an emergency coordinator and/or designate an emergency coordinator that meets the requirements.			
Preparedness and Prevention				
HW.8.1	Failed to maintain and operate facility in a manner to protect against/prevent any unplanned release to air, soil or surface water as warranted.			
HW.8.2	Failed to provide access to communication equipment for emergency response.			
HW.8.3	Failed to provide adequate emergency response equipment.			
HW.8.4	Failed to test and maintain emergency equipment.			
HW.8.5	Failed to make arrangements with local authorities.			
Specific Wastes				
HW.9.1	Failed to properly handle, label, manage and/or recycle spent automotive lead acid batteries.			
HW.9.2	Failed to properly handle, label, manage and/or recycle used oil filters and/or waste fuel filters.			
HW.9.3	Failed to manage contaminated containers properly.			
HW.9.4	Failed to meet requirements for excluded recyclable materials.			
HW.9.5	Failed to meet requirements for management of exempt "reusable soiled textile materials.			
HW.9.6	Failed to meet the requirement of HSC 25200.31(a)-(b) for a laboratory accumulation area.			
HW.9.7	Failed to meet the req. of HSC 25200.3.1(c) when performing on-site treatment of lab haz waste.			
HW.9.8	Failed to meet the req. of HSC 25201.15 when performing on-site neutralization of hazardous waste generated by biotechnology manufacturing or biotechnology process development.			

I – Class I Violation, II – Class II Violation, M – Minor Violation

Received by: _____ Signature of Facility Representative	_____ Printed Name	_____ Date
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